

accounts or products?

ANTI-MONEY LAUNDERING & COMBATING FINANCING OF TERRORISM QUESTIONNAIRE FOR CORESPONDENT RELATIONSHIP

A. **BASIC INFORMATION**

B.

C.

	01. 1	Name of the Institution:	The Premier Bank Limited			
	02. F	Registered Address:	Iqbal Centre, 42 Kemal Ataturk Avenue, Banani			
			Dhaka – 1213, Bangladesh.			
	03. \	Website Address:	www.premierbankltd.com			
	04. F	Principal Business Activities:				
			to acceptance of deposits, loans and advances to Corporates,			
			SME, Agricultural Sectors, Retail Banking, Tr	ade Finance,		
			Syndicated Finance, Treasury Operations etc.			
	05.1	Regulatory Authority:	Bangladesh Bank (The Central Bank of Bangladesh)			
	(Please provide us with a copy of your license and Certificate of Regist			ation/Incorporation)		
06. Operational Status: Private Commercial Bank (Public Limited			Private Commercial Bank (Public Limited Con	npany)		
	• Do	es your Bank maintain a physical p	presence in the licensing country?	Ves No		
В.	<u>OW</u> 07.	NERSHIP / MANAGEMENT Is your institution listed on any s	tock exchange?	Ves No		
		If so, which stock exchange?				
		Dhaka Stock Exchange and Chitt	tagong Stock Exchange.			
	08.	If 'No' to Q1 above, please pro- than 10% shares in your institution	vide a list of the major shareholders holding mo on.	re		
		Not Applicable.				
C.	ANT	I-MONEY LAUNDERING ANI	D TERRORIST FINANCING CONTROLS			
	S		end of the questionnaire if your answer is "No"	to any of the following questions		
		I AML &CFT Policies, Practices		, <u>,</u>		
		Does your institution have policies	s and procedures approved by your gement to prevent Money Laundering and	√ Yes No		
	10.	Does your institution have a legal includes a designated officer that i overseeing the AML/CFT framew	and regulatory compliance program that is responsible for coordinating and ork?	Ves No		
	11.	Has your institution developed wr to prevent, detect and report suspi	itten policies documenting the processes cious transactions?	√ Yes No		
	12.	shell banks? (A shell bank is defini jurisdiction in which it has no phy	vsical presence and which is unaffiliated	Ves No		
		with a regulated financial group.,	,			
	13.	Does your institution permit the op accounts by customers?	pening of anonymous or numbered	Yes V No		
	14.		s to reasonably ensure that they will not chalf of shell banks through any of its	Ves No		



- 15. Does your institution have policies covering relationships with Politically Exposed Persons (PEP's), their family and close associates?
- 16. Does your institution have policies and procedures that require keeping all the records related to customer identification and their transactions?

If 'Yes', for how long? Minimum 05 (Five) years.

II. Risk Assessment

17. Does your institution have a risk-based assessment of its customer base and

their transactions?

18. Does your institution determine the appropriate level of enhanced due diligence necessary for those categories of customers and transactions that the FI has reason(s) to believe pose a heightened risk of illicit activities at or through the FI?

III. Know Your Customer, Due Diligence and Enhanced Due Diligence

- 19. Has your institution implemented processes for the identification of Beneficial Ownership (those customers on whose behalf it maintains or operates accounts or conducts transactions)?
- 20. Does your institution have a requirement to collect information regarding its customers' business activities?
- 21. Does your institution have a process to review and, where appropriate, update customer information relating to high risk client information?
- 22. Does your institution have procedures to establish a record for each new customer noting their respective identification documents and 'Know Your Customer' information?
- 23. Does your institution complete a risk-based assessment to understand the normal and expected transactions of its customers?

IV. Reportable Transactions for Prevention and Detection of ML/TF

- 24. Does your institution have policies or practices for the identification and reporting of transactions that are required to be reported to the authorities?
- 25. Where cash transaction reporting is mandatory, does your institution have procedures to identify transactions structured to avoid such obligations?
- 26. Does your institution screen customers and transactions against lists of persons, entities or countries issued by government/competent authorities or under the UN Security Council Resolution?
- 27. Does your institution have policies to reasonably ensure that it only operates with correspondent banks that possess licenses to operate in their countries of origin?

V. Transaction Monitoring

28. Does your institution have a monitoring program for unusual and potentially suspicious activity that covers funds transfers and monetary instruments such as travelers checks, money orders etc.?

VI. AML Training

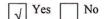
29. Does your institution provide AML & CFT training to relevant employees of your organization?

√ Yes		No
√ Yes	\square	No

Ves Ves	No

Ves No

Ves No
Ves No
Ves No
Ves No
Ves No
√ Yes No
$\sqrt{\frac{1}{\sqrt{2}}} Yes \qquad No$
Ves No



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1	Yes	No



30. Does your institution communicate new AML related laws or changes to existing AML related policies or practices to relevant employees?

Yes	No

Yes

No

31. Does your institution provide AML training to relevant third parties if they are employed to carry out some of the functions of your organization?

Space for additional information:

(Please indicate which question the information is referring to)

D. GENERAL

32. Does the responses provided in this Declaration applies to the following entities: $\sqrt{2}$ Yes No

- Head Office and all domestic branches <
- < Overseas branches
- Domestic subsidiaries <
- < Overseas subsidiaries

If the response to any of the above is 'No', please provide a list of the branches and /or subsidiaries that are excluded, including the name of the institution, location and contact details.

I, the undersigned, confirm to the best of my knowledge and belief that the information provided in this questionnaire is current, accurate and representative of the anti-money laundering and anti-terrorist financing policies and procedures that are established in my institution. I also confirm that I am authorized to complete this questionnaire on behalf of my institution.

Signature:

(with Seal)

Name:

Date:

Executive Vice President & Deputy CAMLCO The Premier Bank Limited Head Office, Dhaka Shahnawaj Chowdhury Designation: Executive Vice President and Deputy CAMLCO April 25, 2016

Shahnawaj Chowdhury

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